Bark beetle impacts on forest evapotranspiration and its partitioning

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HIGHLIGHTS

- Post-disturbance ET and transpiration (T) were quantified by 3 independent methods.
- Bark beetle outbreak reduced ET by 2–25% in the southern Rocky Mountains, USA.
- Initial growing season T was 1–31% relatively more reduced than water year ET.
- The VIC model simulated a 9–18% increase in the post-disturbance runoff ratio.
- ET recovery began after 6–8 years but did not fully recover within 10–15 years.

GRAPHICAL ABSTRACT

ABSTRACT

Insect outbreaks affect forest structure and function and represent a major category of forest disturbance globally. However, the resulting impacts on evapotranspiration (ET), and especially hydrological partitioning between the abiotic (evaporation) and biotic (transpiration) components of total ET, are not well constrained. As a result, we combined remote sensing, eddy covariance, and hydrological modeling approaches to determine the effects of bark beetle outbreak on ET and its partitioning at multiple scales throughout the Southern Rocky Mountain Ecoregion (SRME), USA. At the eddy covariance measurement scale, 85% of the forest was affected by beetles, and water year ET as a
fraction of precipitation ($P$) decreased by 30% relative to a control site, with 31% greater reductions in growing season transpiration relative to total $ET$. At the ecoregion scale, satellite remote sensing masked to areas of >80% tree mortality showed corresponding $ET/P$ reductions of 9–15% that occurred 6–8 years post-disturbance, and indicated that the majority of the total reduction occurred during the growing season; the Variable Infiltration Capacity hydrological model showed an associated 9–18% increase in the ecoregion runoff ratio. Long-term (16–18 year) $ET$ and vegetation mortality datasets extend the length of previously published analyses and allowed for clear characterization of the forest recovery period. During that time, transpiration recovery outpaced total $ET$ recovery, which was lagged in part due to persistently reduced winter sublimation, and there was associated evidence of increasing late summer vegetation moisture stress. Overall, comparison of three independent methods and two partitioning approaches demonstrated a net negative impact of bark beetles on $ET$, and a relatively greater negative impact on transpiration, following bark beetle outbreak in the SRME.

1. Introduction

Evapotranspiration ($ET$) regulates both surface water and groundwater recharge and is critically important to water resources (Maxwell and Condon, 2016; Ukkola et al., 2015). Quantifying $ET$ represents a key research priority in mountain systems that function as the “water towers of the world” and may be especially vulnerable to climate change (Immerzeel et al., 2020; Viviroli et al., 2011). However, $ET$ measurements and models are complicated by spatio-temporally variable controls on both the abiotic surface evaporation and sublimation ($E$) and biotic transpiration ($T$) components of the total $ET$ flux (e.g., Roberts, 1983; Kool et al., 2014; Scott et al., 2021; Wei et al., 2017). Moreover, ecological disturbance and resulting land cover changes can alter hydrological partitioning between $E$ and $T$, and further between $ET$ and streamflow, especially in complex mountain terrain (Chang et al., 2018; Goeking and Tarbonton, 2020, 2022; Sterling et al., 2012). A more comprehensive understanding of how vegetation changes affect $ET$ and its partitioning is therefore required to accurately simulate mountain ecosystem function and watershed yield following disturbance (e.g., Fisher et al., 2017).

Tree mortality due to bark beetles represents a widespread forest disturbance throughout the southern Rocky Mountains, USA with variable and potentially interactive hydrological consequences (e.g., Burton et al., 2020; Hicke et al., 2016, 2020; Edburg et al., 2012). Bark beetles affect $ET$ directly by feeding and reproducing in the phloem of host trees, disrupting nutrient transport and introducing xylem-blocking fungal pathogens (e.g., Ceratocystis dryocoeidis Kendrick & Molnar); subsequent host tree mortality results from nutrient and/or water starvation (e.g., McDowell et al., 2011; Raffa et al., 2015). Prior analyses of hydrological sensitivity to bark-beetle-related forest mortality have focused on changes in streamflow that may be indirectly manifested through the hydrological cycle (e.g., Buma and Livneh, 2017; Manning et al., 2022; Ren et al., 2021) or the effects of bark beetle-induced vegetation mortality on $ET$ itself (Maness et al., 2012; Vanderhoof and Williams, 2015; Bright et al., 2013; Reed et al., 2014). The current study builds on these results by normalizing $ET$ to $P$ as a means to disentangle the disturbance effect from interannual meteorological variability that is key to water availability on the landscape and thus hydrological dynamics including $ET$ partitioning (Hamlet et al., 2007; Berghuijs et al., 2017).

Bark beetle disturbance results in a sequence of long-term ecophysiological changes. Within one to three years after beetle attack, needles turn red and transpiration ceases (red phase; Hubbard et al., 2013; Frank et al., 2014). After three to five years, most killed trees have lost all remaining needles (gray phase; e.g., Walder et al., 2006). Following bark beetle outbreak, early research at the watershed scale indicated relatively more hydrological routing to streamflow, in accord with the water balance and expectations of reduced transpiration (Bethlahmy, 1974; Potts, 1984). However, subsequent work showed that increased abiotic evaporation was capable of partially or completely offsetting decreased transpiration (e.g., Biederman et al., 2014, 2015), and that variable outbreak timing can result in co-occurring patches of stand mortality and recovery (Norton et al., 2015; Rhoades et al., 2013), resulting in no net $ET$ change or even increased $ET$. The principal competing ecohydrological mechanisms that are responsible for post-disturbance changes in $ET$ include changes in leaf area, canopy interception of precipitation, sub-canopy shading, snow accumulation and ablation, albedo, wind speed, and turbulence intensity (Edburg et al., 2012; Mikkelson et al., 2013; Pugh and Gordon, 2012; Reed et al., 2014; Burns et al., 2021). The degree to which each of these processes controls seasonal $ET$ and its partitioning throughout the course of forest disturbance and recovery phases remains an open ecohydrological question and represents the focus of the current work (e.g., Rodman et al., 2022).

To address this knowledge gap, the current study utilized a multi-scale research design that incorporated three independent $ET$ datasets and two methods to partition $ET$ into its constituent parts of transpiration and evaporation. The specific objectives of this study were to (1) quantify the seasonal impacts of bark beetles on $ET$ and its partitioning into abiotic $E$ versus biotic $T$, (2) determine how these impacts aggregate to modify total annual $ET$ during and following outbreak, and (3) evaluate this perturbation within the context of the catchment water balance. To investigate these objectives, we used a multi-scale combination of satellite remote sensing, in situ eddy covariance measurements, and hydrological modeling. By identifying the impact of land cover change due to forest disturbance on regional $ET$ and streamflow, this work has broad implications for the hydrological sciences and water resources management communities.

2. Materials and methods

2.1. Remote sensing

2.1.1. Land cover

Satellite remote sensing data were analyzed from areas located between 1500 m and 3947 m above sea level within the 144,462 km$^2$ Southern Rocky Mountain Ecoregion (SRME; United States Environmental Protection Agency, 2010; Fig. 1a). Analyses were masked to include only areas with evergreen forests as determined by the Landsat-based U.S. Geological Survey (USGS) 2001 National Land Cover Database (NLCD) data (Homer et al., 2004). The NLCD data were up-scaled from 30 m to 500 m cell size for consistency with the remotely-sensed $ET$ data from the Moderate Resolution Imaging Spectroradiometer (MODIS). Aggregation to 500 m resolution was performed based on the percentage of 30 m NLCD evergreen forest cells inside each 500 m grid cell such that the resulting evergreen mask contained a minimum of 80% evergreen forest cover. Burned areas that were disturbed between 1985 and 2018 as derived from the MTBS (Monitoring Trends in Burn Severity; www.mtbs.gov) dataset were removed from the evergreen mask. Principal tree species (from approximately north to south) included Engelmann spruce (Picea engelmannii Parry ex Engelm.), subalpine fir (Abies lasiocarpa (Hook.) Nutt.), lodgepole pine (Pinus contorta Douglas ex Loudon), limber pine (Pinus flexilis E. James), Douglas-fir (Pseudotsuga menziesii) (Mirb.) Franco), blue spruce (Picea pungens Engelm.), white fir (Abies concolor (Gordon & Glend.) Lindl. ex Hildebr.), ponderosa pine (Pinus ponderosa ex P. Lawson & C. Lawson), and southwestern white pine (Pinus strobiformis Engelm.).
2.1.2. Leaf area index (LAI)
Site-specific LAI values were calculated as the mean of four representatively forested MODIS (MCD15A3H) pixels to the west, south, and southeast of the tower at the Glacier Lakes Ecosystem Experiments Site (GLEES; Fig. 1b) or to the north, west, and south of the tower at Niwot Ridge (Fig. 1c), as well as the pixel containing the tower scaffold itself, during the four-week period centered on 21 July that has been previously identified as the period of peak LAI at GLEES (Frank et al., 2014). To account for coniferous physiology where stomata cover both sides of the needle leaf, the one-sided MODIS LAI projection was multiplied by two to determine the total foliage area per unit ground area (Frank et al., 2014; Chen and Black, 1992).

2.1.3. Mortality area
Mortality area (MA) and the year of vegetation mortality were determined from an updated (1997–2018), improved 1 km-resolution cumulative annual dataset derived from United States Forest Service (USFS) Aerial Detection Survey (ADS) data (Hicke et al., 2020). The ADS vegetation mortality data were based on aircraft observations where visual estimates of dead trees were recorded. The year of vegetation mortality was estimated by subtracting one year from the survey date. This 1-year subtraction was necessary given that trees are in the red phase when they are detected and therefore represent the year after beetle attack and subsequent tree death (Holsten et al., 1999; Gilson et al., 2009; Buotte et al., 2016).

2.1.4. Evapotranspiration (ET)
Monthly MODIS-based estimates of ET at 500 m resolution were generated by temporal summation of the MODIS 8-day gap-filled ET data products (MOD16A2GF v006; Running et al., 2019; Mu et al., 2011). The MODIS ET estimates were based on the Penman-Monteith equation forced by both ancillary meteorological data and 8-day MODIS-based vegetation information. The MODIS ET product is constructed from four MODIS-based data sources including 8-day composites of Fractional Absorbed Photosynthetically Active Radiation (FPAR), 8-day land surface albedo, 8-day Leaf Area Index (LAI), and land cover type. The 8-day albedo composites were combined with daily surface solar irradiance and air temperature data from meteorological reanalysis to derive the surface net radiation and ground heat flux (Mu et al., 2011). Surface stomatal conductance and aerodynamic resistance were estimated from a combination of MODIS LAI and reanalysis of daily air temperature, vapor pressure deficit, and relative humidity. Biome-dependent vegetation parameters were obtained from MODIS-based land cover information. These parameters were optimized such that annual ET estimates agreed with ET estimates based on MODIS-derived Gross Primary Productivity (GPP) and known water use efficiencies established from eddy covariance measurements (Mu et al., 2011).

MODIS ET comparisons to vegetation mortality and precipitation (Parameter-elevation Regressions on Independent Slopes Model; PRISM Climate Group, 2021) were based on mean-aggregated 1 km and 4 km
datasets, respectively, during the period between water years (1 October to 30 September) 2000 and 2017 when both MODIS and MA data were applicable. Methodological comparison data were generated by summing monthly changes in ET or T relative to undisturbed grid cells for the year following disturbance, or as the minimum annual precipitation-normalized ET (ET/P) relative to the pre-disturbance period. Non-parametric Mann-Kendall regression analysis was used to identify temporal forest recovery trends.

2.2. Eddy covariance

The eddy covariance method provides spatially-integrated measurements of surface-atmosphere flux within a representative ecosystem scale statistical measurement footprint (Baldocchi et al., 2001; Chu et al., 2021). This study used eddy covariance to quantify evapotranspiration dynamics at two locations within the SRM, one of which (GLEES) was significantly affected by bark beetles during the study period. Direct eddy covariance measurements of latent heat flux (LE) and the net ecosystem exchange of carbon dioxide (NEE) were filtered for periods of reduced turbulent mixing and gap-filled by the REDdyProc algorithm using a moving “look up” table approach under similar meteorological conditions (Wutzler et al., 2018). Site-specific friction velocity filters were determined by REDdyProc as the mean annual friction velocity threshold during the study period (friction velocity threshold = 0.40 m s⁻¹) at Niwot Ridge and 0.71 m s⁻¹ at GLEES. The ET flux was converted from LE using the latent heat of vaporization (2.5 × 10⁶ J kg⁻¹) and the density of water (1000 kg m⁻³). Potential ET (PET) at each site was calculated using the Penman method (Shuttleworth, 1993). Methodological comparison data correspond to the mean between-tower difference between bark beetle outbreak phases at GLEES. Significant differences between eddy covariance sites were evaluated using one-way analysis of variance.

2.2.1. Glacier Lakes Ecosystem Experiments Site (GLEES)

GLEES is located west of Laramie, Wyoming, USA at an elevation of 3190 m above sea level in the Snowy Range Mountains (41°21.992′ N; 106°14.397′ W) (Frank et al., 2014; Speckman et al., 2014). Continuous, ongoing eddy covariance data collection began in 1999 (AmeriFlux ID = US-GLE; doi:10.17190/AMF/1246056), and the long-term mean annual air temperature and precipitation are −2.0 °C and 1200 mm, respectively. The subalpine forest at this location is comprised of Engelmann spruce and subalpine fir with a median canopy height of 7 m, an interquartile range of 8 m, and a maximum of >30 m; however, trees near the US-GLE scaffold do not generally exceed 18 m. Between 2007 and approximately 2010, the forest experienced an outbreak of spruce beetle (Dendroctonus rufipennis), likely due to a lack of minimum wintertime air temperatures below the spruce beetle freeze tolerance threshold (Frank et al., 2014). As a result, healthy tree basal area decreased from 65 m² ha⁻¹ in 2003 to <10 m² ha⁻¹ in 2012 (Speckman et al., 2014). Additional eddy covariance data collection and processing details can be found in Frank et al., 2014.

2.2.2. Niwot Ridge

The Niwot Ridge tower (AmeriFlux ID = US-NR1; doi:10.17190/AMF/1246088) is located in the subalpine forest approximately 25 km west of Boulder, Colorado, USA and 8 km east of the Continental Divide at 3050 m above sea level (40°1′58.4″ N; 105°32′47.0″ W). Continuous, ongoing eddy covariance data collection began at this location in November 1997, and the long-term mean annual air temperature and precipitation are 1.3 °C and 698 mm, respectively (Knowles et al., 2015), which is approximately 2010, the forest experienced an outbreak of spruce beetle found in Frank et al., 2014. Additional eddy covariance data collection and processing details can be found in Burns et al. (2016).

2.2.3. Transpiration partitioning

The transpiration fraction of ET (T/ET) was determined using an “optimal approach” that assumes close correlation between rates of ecosystem transpiration and GPP, i.e., the stomatal-driven components of an ecosystem’s water and carbon fluxes derived from eddy covariance data (Berkelhammer et al., 2016; Zhou et al., 2016). For this analysis, GPP was modeled using the measured NEE and a soil temperature response function to extrapolate nighttime respiration values throughout the daytime hours (Reichstein et al., 2005). Both ET and GPP were subsequently normalized by vapor pressure deficit (VPD), which linearizes the relationship between ET and GPP and is analogous to water use efficiency (Zhou et al., 2014), and a second-order power law function was used to describe the relationship between the 5th percentile of ET and normalized, binned GPP. The 5th percentile of ET was used in favor of the minimum ET because the singular ET minimum within a bin is often an outlier resultant from noise or bias in the gas analyzer or uncertainty in the model used to derive GPP from NEE (Berkelhammer et al., 2016; Zhou et al., 2016). In this way, ET in excess of the minimum value within each bin must be associated with the abiotic component of the latent heat flux i.e., evaporation from bare soil or leaf surfaces. The T/ET analysis was restricted to midday conditions (incoming solar radiation >600 W m⁻²), and the 30-min T/ET data were generated separately for every water year to account for potential changes in the transpiration-GPP relationship with progressive vegetation mortality.

2.2.4. Beetle outbreak phases and hydrological seasons

Eddy covariance data from both sites were parsed into endemic (water years 2005–2007), epidemic (water years 2008–2010), and recovery (water years 2013–2020) phases corresponding to before, during, and after the occurrence of epidemic bark beetle populations and associated widespread tree mortality at GLEES (Frank et al., 2014). Water years 2011 and 2012 were the wettest and driest on record at each site, respectively, and because these years also spanned the epidemic-to-recovery phase transition, they were excluded from the eddy covariance analysis to avoid confounding interpretation of results. Recognizing that seasons defined by month inconsistently capture critical changes in moisture availability, time-varying snow accumulation, snowmelt, and summer drydown “hydrological seasons” were characterized at each site. For this analysis, the snow accumulation and snowmelt seasons were separated by the first of five consecutive days when soil moisture increased above its winter (DJF) average for that water year (Koehn et al., 2021). Similarly, the break between the snowmelt and summer drydown seasons (when soil moisture is determined by rainfall) was characterized as the first day after the peak snowmelt pulse when soil moisture was less than the summer (JJA) mean for that water year (Koehn et al., 2021). To obtain a continuous soil moisture record, the GLEES soil moisture variable was calculated as the average of up to seven sensors, each located at 10 cm depth. The Niwot Ridge soil moisture variable was calculated as the average of soil moisture at 5 cm and 10 cm depth (1 sensor at each depth).

2.3. Hydrological model

The Variable Infiltration Capacity (VIC; Liang et al., 1994) Version 5 land surface model is a physically based model that is able to reconcile spatio-temporally dynamic processes in complex terrain (Nijssen et al., 1997, 2001). The VIC model additionally includes mosaic land cover to capture sub-grid variability in vegetation classes, an important element in this study with which to aid representation of sub-grid variability in vegetation mortality. Within VIC, ET was dynamically computed from Penman-Monteith PET (Monteith, 1973), modified by stomatal and architectural vegetation resistance terms, and coupled to annual MODIS-derived vegetation parameters (Bohn and Vivoni, 2019) to evaluate changes in water and energy balances. Updated daily precipitation, maximum and minimum air temperature, and wind forcings were used in conjunction with an offline
3. Results and discussion

3.1. Remote sensing

3.1.1. Beetle outbreak and recovery timeline

During the 15–16 years following bark beetle outbreak (length of record varies by disturbance bin), ET/P initially decreased but then showed signs of recovery (Fig. 2). In addition, the flashiness of the post-disturbance ET/P response generally increased with mortality area (MA) such that ET/P from more disturbed areas (higher MA) both decreased and recovered more rapidly than ET/P from less disturbed areas (lower MA). During the months of June, July, and August (JJA), growing season ET normalized by water year (WY) P (ET/P) decreased by a maximum of 1% (MA = 1–20%), 4% (MA = 20–40%), 5% (MA = 40–60% and 60–80%), or 7% (MA = 80–100%) relative to the pre-disturbance period (Fig. 2a). The minimum growing season ET/P occurred 5–8 years after the onset of disturbance, and there was a significant (0.003 > p > 0.06) positive ET/P recovery trend for all MA disturbance classes thereafter (Fig. 2a). Growing season ET/P from more disturbed areas recovered faster than ET/P from less disturbed areas such that growing season ET/P from the most severely disturbed (MA = 80–100%) areas had completely recovered within 14 years.

Water year ET/P closely paralleled trends in growing season ET/P following disturbance, and decreased by a maximum of 5% (MA = 1–20%), 9% (MA = 20–40%), 11% (MA = 40–60% and 60–80%), or 15% (MA = 80–100%) relative to the pre-disturbance period (Fig. 2b). Dividing by the minimum WY ΔET/P, changes in JJA ET/P thus accounted for 20% (MA = 1–20%), 44% (MA = 20–40%), 45% (MA = 40–60% and 60–80%), or 47% (MA = 80–100%) of WY ET/P reductions. For moderate-to-severely disturbed areas (MA > 20%), minimum annual ET/P occurred 6–8 years after the onset of disturbance, and there was a significant positive ET/P recovery trend for the majority of MA disturbance classes thereafter: 20–40% (p = 0.01), 40–60% (p = 0.03), 60–80% (p = 0.12), and 80–100% (p = 0.04) (Fig. 2b). The minimum ET/P in less disturbed areas (MA < 20%) occurred 15 years after the onset of disturbance and indicates that disturbance may not have been sufficient to represent the dominant control on WY ET during that time (Adams et al., 2011). At the end of the study period, WY ET/P ranged from <1% to 9% below pre-disturbance levels, and there was evidence of an inverse relationship between disturbance severity and magnitude of recovery consistent with growing season dynamics (Fig. 2b).

Previous MODIS-based work characterized maximum growing season ET reductions of 13–44% (Colorado, USA; Bright et al., 2013) and 19% (British Columbia, Canada; Maness et al., 2012) following bark beetle outbreak. The current study shows that MODIS-based growing season ET/P was reduced by <1–7% following bark beetle outbreak, and that recovery continued for 10 years after the minimum value at a pace that was mediated by the severity of disturbance (Anderegg et al., 2016; Fig. 2a). This trajectory of post-beetle ET decline and recovery aligns with previous work in the SRME where the minimum MODIS ET occurred 6 years post-disturbance (Bright et al., 2013). A subsequent study that coupled MODIS ET with dendrochronology to extend this line of research through time suggested that growing season ET took 21–30 years to recover to pre-disturbance levels in the SRME (Vanderhoof and Williams, 2015). Here,
we demonstrate that forest ET/P recovery varies as a function of disturbance severity with more disturbed areas recovering at a faster pace than less disturbed areas.

Given that JJA $\Delta ET/\Delta P$ accounted for $44\text{--}47\%$ of maximum WY ET/P reductions ($MA > 20\%$), and recognizing that the growing season extends into spring and fall (i.e., beyond JJA; Knowles et al., 2020), it is likely that growing season ET/P changes were the major contributor to total post-disturbance $\Delta ET/P$ (Fig. 2). This result corresponds to the magnitude of transpiration that represents a majority of ET in needle-leaf ecosystems (Wei et al., 2017), and supports an overriding biotic effect on ET following bark beetle outbreak relative to potentially competing abiotic processes (e.g., Goeking and Tarboton, 2020; Frank et al., 2019). Similarities between observed ET/P recovery trajectories during the peak growing season and water year corroborate the importance of growing season processes, and constrain the effect of vegetation regrowth on the annual water balance (Collins et al., 2011). However, we acknowledge increased uncertainty with disturbance severity in Fig. 2, especially above $MA = 60\%$, and suspect that the actual $\Delta ET/\Delta P$ differences between disturbance classes may be more gradual. Contributing factors to unexpected differences between the $MA$ curves in Fig. 2 include smaller bin sizes for the more severe disturbance classes (42 total pixels for $MA > 60\%$) and/or potentially misclassified pixels in the $MA$ dataset (Hicke et al., 2020). Problematic satellite retrievals during the winter (e.g., Tian et al., 2004), species invariant representation of stomatal conductance (e.g., Ewers et al., 2005), and/or changing vegetation parameters with mortality (e.g., Frank et al., 2014) are also potential sources of uncertainty in the MODIS ET algorithm and thus the WY ET/P results.

3.1.2. Disturbance effects on monthly ET

To further constrain feedbacks between disturbance severity and the timing and magnitude of hydrological response, monthly changes in MODIS ET during the year following identification of disturbance were binned by disturbance class and analyzed with respect to undisturbed areas ($MA = 0\text{--}5\%$; Fig. 3). Peak monthly ET changes occurred in June ($MA = 5\text{--}20\%$; $\Delta ET = -2$ mm) or July ($MA = 20\text{--}40\%$ and $MA = 40\text{--}60\%$ $\Delta ET = -8$ mm), and ET was persistently reduced relative to undisturbed areas between April and September. During this period, ET reductions from moderately ($MA = 20\text{--}40\%$) and severely ($MA = 40\text{--}60\%$) disturbed areas were similar with a total $\Delta ET$ of $-28$ mm for both disturbance classes. JJA ET reductions from moderately and severely disturbed areas were also similar ($\Delta ET = -20$ mm) and thus represented $71\%$ of the April–September growing season effect. Accordingly, monthly ET analysis reinforces the dominant contribution of growing season processes to the annual water budget (Fig. 2), and corroborates an $MA$ threshold of $20\%$ for significant hydrological change to occur (Adams et al., 2011; Stednick, 1996). For $MA > 20\%$, relative insensitivity of growing season ET to MA may be emerging as characteristic of seasonally water-limited systems like the SRME (e.g., Andrus et al., 2018; Fahey and Knight, 1986) where transpiration is much lower than its potential rate such that excess water available due to tree death is readily consumed by remaining vegetation (Ren et al., 2021; Norton et al., 2015; Brevield et al., 2021). During the remainder of the year, post-disturbance ET was modified by minor gains (Feb–Apr; $MA = 5\text{--}20\%$) and losses (Oct–Nov; $MA = 40\text{--}60\%$) corresponding to shoulder season biotic effects and/or initial abiotic changes prior to the onset of widespread canopy defoliation (e.g., Edburg et al., 2012).

3.2. Eddy covariance

3.2.1. Annual trends

To account for potentially confounding topographical and meteorological spatial variability throughout the SRME, 16 years of eddy covariance ET data from regionally co-located bark beetle (GLEES, WY) and control (Niwot Ridge, CO) sites were analyzed over the course of a bark beetle outbreak at GLEES (Fig. 4). During the endemic phase, GLEES mean annual ET (769 mm; p = 0.02) and ET/PET (0.54; p = 0.05) were significantly higher than Niwot Ridge ($ET = 578$ mm; $ET/PET = 0.21$), but $ET$ and $ET/PET$ at GLEES decreased to 665 mm and 0.26 during the beetle epidemic itself, whereas the Niwot Ridge values remained similar ($ET = 594$ mm; $ET/PET = 0.22$). Between-site ET differences were no longer significant during the epidemic phase, but $ET/PET$ ($p = 0.02$) continued to be significantly higher at GLEES. During the first three years of the recovery phase, ET (536 mm) and $ET/PET$ (0.21) reached their minimum annual values at GLEES and were lower than but not significantly different from Niwot Ridge. However, both the GLEES $ET$ ($p = 0.005$) and $ET/PET$ ($p = 0.008$) rebounded and were significantly higher than Niwot Ridge during the following five years of recovery (years 4–8 following the end of the epidemic phase). Overall, the mean annual recovery phase $ET$ and $ET/PET$ at GLEES and Niwot Ridge were 561 mm and 0.23 compared to 543 mm and 0.21, respectively. Throughout all phases of the bark beetle outbreak, mean annual $ET/P$ was significantly higher at Niwot Ridge than GLEES (0.005 < p < 0.09), but the mean $ET/P$ difference between sites was 30 % greater during the epidemic phase and 17 % greater during the recovery phase relative to pre-disturbance (endemic) conditions.

![Fig. 3. The mean and variability (standard error) of the monthly MODIS ET difference between undisturbed (<5 % mortality) and disturbed (binned by severity of disturbance) areas during the year following identification of beetle disturbance.](Image)
Continuous eddy covariance monitoring for 16 years before, during, and after a bark beetle outbreak extends the length of previous in situ growing season ET analyses in the SRME by a decade or more (Frank et al., 2014). Mean annual ET at GLEES was suppressed during the outbreak until approximately 6 years post-disturbance when there was evidence of a recovery trend. This time frame agrees with the remote sensing results and provides an independent constraint on the pace of ET recovery. Both tower- and satellite-based results aligned with a strong initial growth response of advance regeneration (trees <2.5 cm DBH and >3 years old at the time of disturbance) to reduced canopy cover that has been shown to occur 6–8 years post-disturbance in a variety of conifer forests, especially in unharvested stands that represent the majority of beetle-killed area in the SRME (Collins et al., 2011; Gandhi et al., 2022; Norton et al., 2015; Bretfeld et al., 2021). Relative changes in ET and ET/PET versus ET/P dynamics between sites demonstrate how changes in canopy characteristics may differentially alter patterns of energy and water use throughout the course of a bark beetle outbreak (Fig. 1b; Brown et al., 2014; Reed et al., 2014); precipitation measurement uncertainty may have also affected the ET/P calculation (e.g., Burns et al., 2015). Similarly, higher baseline ET at GLEES may reflect differences in site meteorology, species composition (spruce-dominated vs. pine-dominated), or other forest characteristics (Frank et al., 2016; Knowles et al., 2017).

3.2.2. Seasonal trends

Seasonal analysis adds context to the processes responsible for observed trends in mean annual ET during each disturbance phase. Pre-disturbance, GLEES ET was higher than Niwot Ridge ET during every month with a maximum difference between sites in midsummer and midwinter (Fig. 5a). During the beetle epidemic, the mean monthly ET disparity between sites was reduced during all months except January and February, and the GLEES ET became lower than the Niwot Ridge ET in May, June, and July. As disturbance progressed from the endemic to the epidemic phase, the maximum cross-site mean monthly ET difference occurred in June ($\Delta ET = -36$ mm) and July ($\Delta ET = -30$ mm), which broadly corresponds to the snowmelt season that is critical to annual productivity in the SRME (Hu et al., 2010; Knowles et al., 2018). A negligible impact of beetles on cross-site ET differences during January and February reflects an expected lack of sublimation response during the epidemic phase before trees lose their needles, as opposed to the recovery phase when maximum cross-site differences in ET relative to the epidemic phase in January ($\Delta ET = -12$ mm) and February ($\Delta ET = -15$ mm) coincided with needlefall and decreased canopy interception (Frank et al., 2019; Molotch et al., 2007). Relative to the endemic phase, cross-site ET reductions were progressively greater during the recovery phase than the epidemic phase during all periods except the peak growing season months of June, July, and August, which

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Fig. 4. Eddy covariance (a) evapotranspiration (ET), (b) ET as a fraction of precipitation (P), and (c) ET as a fraction of total annual potential ET (PET) over time at Niwot Ridge and GLEES. All data correspond to water year annual sums.

Fig. 5. The monthly total eddy covariance (a) evapotranspiration (ET) and (b) transpiration (T) difference between GLEES and Niwot Ridge changes with beetle phase throughout the water year. Mean annual differences were calculated as GLEES minus Niwot Ridge such that positive values correspond to a higher magnitude at GLEES and negative values are a higher magnitude at Niwot Ridge. Error bars are the standard error of the annual difference during each phase.
corroborates a growth response of remaining vegetation during this time (Collins et al., 2011). Overall, the minimum and maximum mean monthly differences between the endemic and recovery beetle phases at GLEES and Niwot Ridge occurred in April ($\Delta ET = -8$ mm) and June ($\Delta ET = -32$ mm), respectively.

A transpiration partitioning algorithm was applied to the eddy covariance data in order to isolate the effect of beetles on the abiotic evaporation versus biotic transpiration ($T$) components of the total $ET$ flux (Zhou et al., 2016; Section 2.2.3; Fig. 5b). During the peak growing season months of June, July, and August, endemic phase $T$ at GLEES was 1 mm, 13 mm, and 4 mm higher than Niwot Ridge and accounted for 7 %, 44 %, and 21 % of the total $ET$ difference between sites. Greater between-site differences in $T/ET$ during the late summer months of July and August supports that the later melting and relatively deeper infiltrating snowpack at GLEES significantly reduces the potential for moisture limitation to late season vegetation productivity that has been observed at Niwot Ridge (Knowles et al., 2018; Winchell et al., 2016). In spite of this, the epidemic phase $T$ at GLEES decreased to 8 mm, 2 mm, and 4 mm lower than Niwot Ridge during June, July, and August when reduced $T$ accounted for 26 %, 50 %, and 45 % of the total observed $ET$ reduction between sites. Recovery phase $T$ increases during June, July, and August were 0.2 mm, 5 mm, and 5 mm greater than the corresponding changes in total $ET$ relative to the epidemic phase, which implies that biotic $T$ recovery compensated for reduced abiotic $E$ up to a decade post-disturbance, and was the mechanism behind ecosystem $ET$ recovery.

### 3.2.3. Changes in forest function

Sixteen years of simultaneous, continuous eddy covariance data collection at Niwot Ridge and GLEES allows for process-based evaluation of changing limitation to $ET$ as a function of disturbance phase (Fig. 6). For this purpose, we specifically leveraged soil moisture data to separate and compare $ET$ and $PET$ during the time-varying snow accumulation (vegetation dormant; $ET$ energy limited), snowmelt (vegetation active; $ET$ energy limited), and soil drydown (vegetation active; $ET$ energy or moisture limited) “hydrological seasons” at each site (Koehn et al., 2021; Section 2.2.4). At the Niwot Ridge control site, there was no significant relationship between $ET$ and $PET$ during the snow accumulation period (Fig. 6a). However, during the snowmelt and drydown seasons when $ET$ and $PET$ were significantly correlated, it was expected that the relationship between $ET$ and $PET$, expressed as the slope of the corresponding linear regression, would not change significantly between beetle disturbance phases. This expectation was substantiated during the snowmelt period (Fig. 6b), but not during the soil drydown period when recovery phase $ET$ was significantly ($p = 0.04$; analysis of covariance) less sensitive to changes in $PET$ relative to both the endemic and epidemic phases (Fig. 6c). Although Niwot Ridge was not subjected to the beetle epidemic, this result suggests altered forest function in 2013–2020 relative to 2005–2010, potentially due to legacy effects of the 2012 drought on vegetation or moisture carryover that would be expected to manifest during the soil drydown period (Chen et al., 2015; Dannenberg et al., 2022; Knowles et al., 2018).

During the snow accumulation season at GLEES, $ET$ and $PET$ were negatively correlated during the endemic phase, but not significantly related during the epidemic and recovery phases (Fig. 6d). Mechanistically, observed post-disturbance insensitivity of $ET$ to $PET$ supports the idea that reductions in canopy-intercepted snow (sublimates very efficiently) and snowpack surface and higher within-canopy wind speeds, which reinforces the importance of canopy sublimation to the total sublimation flux (Frank et al., 2019; Sexstone et al., 2018). During the snowmelt season, the sensitivity of $ET$ to $PET$ decreased during the endemic phase ($p = 0.002$), but then rebounded albeit with a lower intercept ($p < 0.001$) during the recovery phase, likely due to the reduction in leaf area associated with the death of mature trees (Fig. 6e). Although the $ET$ sensitivity to $PET$ did not change with beetle phase during the soil drydown season, the recovery phase intercept was similarly reduced ($p = 0.03$) relative to the endemic phase, in accord with a canopy regeneration signal and leaf area limitation to transpiration (Fig. 6f). Comparing $ET$ vs. $PET$ between the snowmelt and soil drydown portions of the growing season would not change significantly between beetle disturbance phases.

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Fig. 6. Daily eddy covariance evapotranspiration ($ET$) as a function of potential evapotranspiration ($PET$) during the snow accumulation, snowmelt, and drydown hydrological seasons at Niwot Ridge (top row, “control”) and GLEES (bottom row, “beetle”) as defined by intra-annual soil moisture dynamics following Koehn et al., 2021. Lines denote corresponding linear relationships that are significant at $p < 0.05$. [Diagram not shown in text]
season, ET shifted to become less sensitive to PET ($p = 0.02$) during the recovery phase drydown season only, indicating that disturbance and associated changes in the age distribution and/or health of remaining vegetation may have exacerbated late summer moisture limitation to ET at GLEES (Andrus et al., 2018; Paine et al., 1998; Au et al., 2022).

3.3. VIC hydrological model

Comparison of measured and modeled results affords an independent assessment of process understanding and can be used to develop future lines of research inquiry and/or model improvements (e.g., Wieder et al., 2017). In the current study, modeling analysis additionally allows for simulation of how changes in land cover and ET translate to changes in post-disturbance streamflow ($Q$) that are critical to effective water resource management (Barnhart et al., 2021; Livneh et al., 2015b). As such, we modeled changes in ET, $T$, and the runoff ratio ($Q/P$) throughout the SRME as a means to complement satellite remote sensing and eddy covariance data, as well as to identify potential knowledge gaps in process understanding or representation.

3.3.1. Changes in ET and transpiration

The median modeled water year ET from disturbed areas ranged from 2 to 5% less than ET from low disturbance ($MA < 5\%$) grid cells (Fig. 7a).

Reduced post-disturbance ET broadly aligned with observational trends, but both modeled and remotely sensed ET reductions were an order of magnitude less than the eddy covariance results (see Section 3.4 below), and the model unexpectedly produced a maximum ET reduction for $MA = 20-40\%$ relative to any other disturbance class. This difference underscores the difficulties of working at large spatial scales, especially in complex terrain where gradients in water availability and vegetation type and mortality occur over short horizontal and vertical distances and may be inconsistently correlated with disturbance (e.g., Thayer et al., 2018; Tai et al., 2019). At these scales, errors due to incomplete representation of the ecohydrological system are exacerbated by the potential for divergent ecosystem response to model forcing data; the resultant multivariate heterogeneity is manifested in the long distribution tails of the VIC modeling results that are indicative of a potential signal-to-noise problem where disturbance-induced hydrological changes (i.e., “the signal”) can be difficult to distinguish from inter-annual background hydrological variability (i.e., “the noise”) (Fig. 7; Knowles et al., 2017; Livneh et al., 2015a). In addition, modeled ET reductions may be conservative as a result of the spin-up period that preceded the Millennium drought in the southwestern USA (Cayan et al., 2010). Accordingly, modeling studies based on tower forcing data are more closely aligned with the eddy covariance results of the current study e.g., Chen et al. (2015) determined 22% less ET following the bark beetle outbreak at GLEES.

Fig. 7. VIC-modeled Kernel Density Estimates (KDEs) of (a) water year ET, (b) growing season (JJA) transpiration, and (c) water year runoff ratio ($Q/P$) anomalies corresponding to beetle disturbance classes of increasing severity. Median values of each distribution are shown as vertical lines and were significantly different ($p < 0.01$; non-parametric two-sample Mann-Whitney test) from the low disturbance distribution.
Modeled transpiration changes were greater than water year $ET$ changes and indicated that negative biotic effects overwhelmed any potentially compensating abiotic effects on $ET$ (Fig. 7b). Specifically, median modeled $T$ values during the months of June, July, and August were 4%, 6%, 5%, and 7% less than low disturbance ($MA < 5\%$) grid cells for disturbance classes of $MA = 5\%–20\%$, $20\%–40\%$, $40\%–60\%$, and $>60\%$, respectively (Fig. 7b). The corresponding $T/ET$ decreased by 0.4 ($MA > 25\%$) and 0.3 ($MA > 40\%$) standard deviations from the mean, respectively. Relatively stable $T$ anomalies above $MA = 20\%$ were consistent with the remote sensing component of our analysis and could be indicative of an aridity effect that supersedes the sensitivity of $ET$ to vegetation mortality area (Ren et al., 2021). In addition, relative insensitivity of modeled $T$ to increasing mortality could represent an artifact of the time-varying model experimental design where it is possible for the post-disturbance period to span periods of compensatory $T$ and $ET$ dynamics (i.e., the epidemic and recovery phases) for grid cells that were disturbed near the beginning of the study (Liang et al., 2016). In this way, ecosystem recovery may have inhibited the model’s ability to isolate nearer-term from longer-term disturbance effects on both $T$ and $ET$ (e.g., Rhoades et al., 2013). Overall, modeled $T$ reductions throughout the SRME were modest relative to bark beetle $ET$ studies at smaller ecosystem or regional spatial scales (e.g., 13–44% less growing season $ET$ following disturbance; Bright et al., 2013; Chen et al., 2015), which reinforces the effect of spatio-temporal heterogeneity associated with ecosystem characteristics and/or disturbance on the ecoregion-scale model results.

### 3.3.2. Changes in the runoff ratio

We utilized the VIC model to quantitatively translate bark beetle impacts into changes in runoff (Fig. 7c). Accordingly, median modeled water year runoff ratios were 9%, 13%, 10%, and 18% more than low disturbance ($MA < 5\%$) grid cells for disturbance classes of $MA = 5\%–20\%$, $20\%–40\%$, $40\%–60\%$, and $>60\%$, respectively (Fig. 7c). Modeled runoff ratio anomalies exceeded both $ET$ and $T$ anomalies and were consistent with a previous catchment-scale modeling study in the SRME ($Q/P$ increased by 8–13%; Livneh et al., 2015b). However, the VIC modeling distributions spanned a wide range of solutions including fluxes of the opposite sign for all modeled hydrological outputs, and thereby emphasize heterogeneity within the SRME study domain that integrates disturbance effects across myriad combinations of soil, vegetation, climatology, and elevation representative of semi-arid regions (Fig. 7). As such, previous studies that determined no change or a decreased runoff ratio following bark beetle outbreak may be indicative of particular locations, sets of circumstances, and/or time periods within the SRME (e.g., Biederman et al., 2014, 2015; Guardiola-Claramonte et al., 2011; Slinski et al., 2016). Overall, the current results broadly support an emerging paradigm of ecohydrological variability along multiple axes with respect to prediction and interpretation of post-disturbance hydrological response (Goeking and Tarboton, 2020). In particular, the SRME is generally characterized by higher aridity than more northerly regions that have contributed significantly to current understanding (e.g., Cudmore et al., 2010; Maness et al., 2012) with subsequent differences in snow accumulation and melt characteristics, vegetation physiology and structure, and spatial heterogeneity that are among the strongest known predictors of post-disturbance water yield (Goeking and Tarboton, 2022; Manning et al., 2022; Ren et al., 2021).

### 3.4. Methodological comparison

Recognizing that each method has a unique set of advantages, disadvantages, and biases, we compared remote sensing, eddy covariance, and hydrological model approaches to quantify post-disturbance changes in $ET$, $T$, $ET/P$, and $Q/P$ following bark beetle outbreak (Fig. 8). Above $MA =$...
20 % (i.e., excluding the marginal remote sensing $\Delta ET$ increase for $MA = 1–20$ %), all three methods demonstrated a net negative effect on post-disturbance $ET$, ranging from 2 to 25 % (Fig. 8a–c). Further, all methods indicated that changes in $ET$ were primarily due to changes in $T$ (or growing season $ET$), which decreased 5–48 % relative to pre-disturbance conditions above the $MA = 20$ % threshold (Fig. 8d–f). For both $T$ and $ET$, the hydrological model analysis was the least sensitive to disturbance, the eddy covariance measurements were the most sensitive, and remote sensing was in between. Normalizing $ET$ by precipitation increased the magnitude of the remotely sensed disturbance effect by 56 % ($MA = 20–40$ %) to 81 % ($MA = 40–60$ %) relative to the non-normalized estimate ($ET$), and thus demonstrates how interannual precipitation variability can both affect ecosystem response and mask surface-driven ecohydrological trends (Fig. 8g; Manning et al., 2022; Ren et al., 2021). However, normalizing the eddy covariance-based $ET$ by precipitation changed the timing but not the magnitude of the disturbance effect, i.e., the maximum effect was realized during the epidemic ($ET/P$) as opposed to the recovery ($ET$) beetle phase (Fig. 8h). The VIC hydrological model showed 9–18 % more water allocated to runoff (higher runoff ratios) following disturbance, with the size of the effect generally proportional to vegetation mortality area, in accord with expected propagation of $ET$ and $T$ reductions through the water balance (Fig. 8i).

Differences between remotely sensed, in situ, and modeled estimates of $ET$, $T$, and $ET/P$ can be attributed to differences in both spatial domain and experimental design. For example, the remote sensing and modeling analyses were performed at 1 km or 4 km resolution and do not therefore capture the fine scale topographical and ecohydrological complexity characteristic of the heterogeneous SRME study domain. Consequently, these analyses were less sensitive to disturbance than the eddy covariance method that leveraged a paired tower approach to isolate the disturbance signal from confounding terrain or other factors. Increased measurement fidelity, however, comes with a significant spatial representativeness tradeoff relative to the larger study domain where evergreen forest cover is often less dense and/or continuous (Homer et al., 2004). Remote sensing and modeling approaches are also subject to particular limitations associated with species composition, seasonality, and beetle phase (Ewers et al., 2005; Tian et al., 2004; Frank et al., 2014). Moreover, it was not possible to replicate the same experimental setup among the various methods due to differences in data availability and methodological capabilities that necessitated the use of different time periods, disturbance classes, and statistical techniques. Notwithstanding, accounting for key methodological differences, three independent approaches converged to demonstrate broadly consistent epidemic and recovery dynamics of $ET$ and $T$ following bark beetle outbreak in the SRME.

4. Conclusion

We applied three independent methods to address a currently open ecohydrological question: How does bark beetle outbreak affect $ET$ and its partitioning? All three methods indicated a post-disturbance $ET$ reduction with the model also simulating proportionally increased runoff. Both remote sensing and eddy covariance data also converged to estimate a maximum post-disturbance $ET$ decrease approximately 6–8 years after beetle attack and a recovery trend thereafter, consistent with an advance regeneration growth response to reduced canopy cover. Process-based analysis of the eddy covariance data uncovered a similar canopy regeneration signal, and the same analysis showed that post-disturbance $ET$ became less sensitive to $PET$ as snowmelt moisture availability decreased, indicating that bark beetle outbreak intensified moisture limitation to $ET$ during the late summer drydown period. During the winter, there was a negligible impact of disturbance on winter $ET$ (sublimation) during the epidemic/red phase, but a much stronger negative impact during the recovery/grey phase that was associated with decreased canopy interception following canopy defoliation. Overall, 10–15 years of post-disturbance observational $ET$ data was not long enough to capture full ecosystem recovery at the ecosystem or ecoregion scale.

Two transpiration partitioning approaches showed that $T$ decreased relatively more than $ET$ and therefore suggested that $T$ was a primary mechanism by which bark beetle outbreak reduced overall $ET$. Although the current SRME bark beetle outbreak has subsided, bark beetles are endemic to forested areas globally, and there will be more outbreaks in the future. Given widespread increasing aridity, reduced $ET$ and the subsequent recovery timeline demonstrated by this work will be subject to modification by increased potential for water limitation that may supersede or ameliorate bark beetle impacts if moisture becomes sufficiently limiting to $ET$. As a result, additional efforts to parse the heterogeneous study domain by elevation or aridity index will be needed to estimate the potential severity of this effect; forthcoming higher resolution (30-m) data products such as OpenET will likely be key to achieving this goal and reducing uncertainty associated with predictions of bark beetle impacts on $ET$. However, the current study leveraged broad agreement between multiple independent measurement and modeling approaches to characterize the magnitude and duration of post-disturbance $ET$ changes at multiple scales throughout the SRME. These results have implications for water resources and land management at multiple scales during and following forest disturbance associated with insect-related mortality, and support the emerging paradigm that nuanced interpretation is required to accurately predict post-disturbance hydrological impacts in areas of complex terrain.

CRediT authorship contribution statement

John F. Knowles: Conceptualization, Methodology, Formal analysis, Writing – original draft, Writing – review & editing, Visualization, Supervision, Project administration, Funding acquisition. Nels R. Bjarke: Methodology, Formal analysis, Writing – review & editing, Visualization. Andrew M. Badger: Methodology, Formal analysis, Writing – review & editing, Visualization. Max Berkelhammer: Software, Writing – review & editing. Joel A. Biederman: Methodology, Writing – review & editing. Peter D. Blanken: Investigation, Writing – review & editing. Mario Breitfeld: Investigation, Data curation, Writing – review & editing. Sean P. Burns: Investigation, Data curation, Writing – review & editing. Brent E. Ewers: Investigation, Writing – review & editing. John M. Frank: Investigation, Data curation, Writing – review & editing. Jeffrey A. Hicke: Resources, Writing – review & editing. Leanne Lestak: Methodology, Software, Formal analysis, Data curation, Writing – review & editing. Ben Livneh: Methodology, Resources, Supervision, Writing – review & editing. David E. Reed: Writing – review & editing. Russell L. Scott: Writing – review & editing, Funding acquisition. Noah P. Molotch: Conceptualization, Methodology, Resources, Writing – review & editing, Supervision, Funding acquisition.

Data availability

Data used in this manuscript are available from the original data providers as indicated in the text.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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