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High-Resolution Modeling of Lidar Data: Mechanisms Governing Surface Water Vapor  
Variability During SALSA

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## ABSTRACT

An integrated tool that consists of a volume scanning high-resolution Raman water vapor lidar and a turbulence-resolving hydrodynamic model, called HIGRAD, is used to support the Semi-Arid Land-Surface-Atmosphere (SALSA) Program. The water vapor measurements collected during SALSA have been simulated by the HIGRAD code with a resolution comparable with that of the lidar data. The lidar provides the required "ground truth" of coherent water vapor eddies and the model allows for interpretation of the underlying physics of such measurements and characterizes the relationships between surface conditions, boundary layer dynamics, and measured quantities. The model results compare well with the measurements, including the overall structure and evolution of water vapor plumes, the contrast of plume variabilities over the cottonwoods and the grass land, and the mid-day suppression of turbulent activities over the canopy. The current study demonstrates an example that such an integration between modeling and lidar measurements can advance our understanding of the structure of fine-scale turbulent motions that govern evaporative exchange above a heterogeneous surface.

**Keywords:** Water vapor lidar data, canopy effects, hydrodynamic model, small-scale eddies, water vapor variability.

### 1. Introduction

During the Semi-Arid Land-Surface-Atmosphere (SALSA) Program led by USDA-ARS in August of 1997 over southeastern Arizona (Goodrich et al., this issue), Los Alamos National Laboratory (LANL) fielded an advanced scanning Raman lidar as

part of a larger suite of meteorological sensors. The LANL lidar was utilized to quantify processes associated with the evapotranspiration (ET) over a cottonwood riparian corridor and the adjacent mesquite-grass region, and furthermore their interaction with the atmospheric boundary layer. The LANL volume scanning Raman lidar has been demonstrated in numerous field experiments to produce water vapor concentration patterns with spatial resolution of 1.5 m (e.g., Eichinger et al., 1993, 1994, Cooper et al., 1994, 1996, 1997). Lidar data of this kind has been processed by statistical methods and validated with conventional tower-mounted eddy flux systems in the above studies. On the other hand, these data sets have also shown us that the time-space structure measured by the lidar can yield interpretations that go beyond what conventional analyses can offer (Cooper et al., 1997). This required us to utilize an ultra-high-resolution hydrodynamic model to investigate the possible underlying physics for the temporal and spatial evolution of water vapor revealed by lidar. To this end, a relatively new hydrodynamic model (Reisner and Smolarkiewicz, 1994; Smolarkiewicz and Margolin, 1993; Kao et al., 1999) for simulating high-gradient flows such as those that lidar can resolve has been utilized for integration purposes with our lidar-measured water vapor data at a resolution of meters.

The ultimate goal of this project is to use very high temporal and spatial resolution water vapor observations and an ultra-high-resolution eddy simulation atmospheric model to observe and understand the transport mechanisms of humidity in the atmospheric surface and boundary layers. The resulting level of physical understanding should guide significant improvements in the parameterization of latent heat eddy fluxes over land surfaces with proper and consistent dependencies on stability and meteorological quantities.

A reliable parameterization of land-surface-atmosphere exchanges of heat, moisture, and momentum requires a fully quantitative understanding of the near-

surface distribution of these scalars and their evolution. One of the basic problems in studying the moisture exchange in the surface-atmosphere interaction is the determination of the minimum scale necessary to describe the water vapor variability (Lawford, 1996). In other words, what is the water vapor distribution at the scale of coherent eddies (or the water vapor plumes)? The satellite-based data sets upon which present global environmental assessments depend unfortunately cannot answer this question and neither can any conventional point measurement platforms. In this paper, we demonstrate that a unique combination of two complementary capabilities, respectively for measurement and modeling, can help to address issues of variability of near-surface and boundary-layer water vapor and associated transport mechanisms.

In the current modeling study, we first simulate the general aspects of formation and evolution of coherent plumes identified by the lidar over the SALSA surface within a convectively unstable boundary layer from the mid-morning to the early afternoon. The contrast of plume variability over the cottonwood canopy and grassland is also investigated based upon the model design assumption that the canopy is treated as a moisture source and a momentum sink. We are particularly interested in an event observed by the lidar, that indicates that the microscale plumes appear to weaken during midday over cottonwoods. It is a phenomenon which is not supported by conventional wisdom of the convective boundary layer.

Section 2 of the paper presents a brief review of the water vapor Raman lidar and the data collected during SALSA. Section 3 introduces the hydrodynamic model and related model physics. Section 4 shows the simulation design. Section 5 presents model results and their comparison with observations. Finally, Section 6 provides a summary and concluding remarks.

## 2. Lidar Data on August 11, 1997

For more than two decades, lidar (LIght Detection And Ranging) remote sensing technology has offered the promise of prompt, nearly instantaneous, and multi-dimensional atmospheric measurements to ranges of one to tens of kilometers with spatial resolution of a few meters, providing the degree of detail necessary to describe boundary layer phenomena. The lidar used in SALSA is a self-contained field-deployable UV Raman water vapor system (Eichinger et al., 1994). It uses an excimer laser source with 400 mJ/pulse of energy at 200 Hz at wavelengths of 248 and 351 nm. The receiver uses a 24" f8 telescope and scanning optics to allow 3-D volumetric imaging of water vapor mixing ratio and extraction of 2-D surface fluxes.

Cooper et al. (2000, in this issue) described a number of important properties of water vapor and its surface flux in terms of their spatial and temporal structure, measured by lidar on August 11, 1997, during the SALSA observational period. Here, we concentrate on a few phenomena that we wish to address in the current modeling study. For example, Fig. 3 in Cooper et al. (2000, in this issue) shows the time evolution of the water vapor field from the mid-morning to early afternoon at a range of 250 to 475 m. Note that due to the design requirement of SALSA, the lidar beams did not go any higher than the altitude of 75 m indicated in Fig. 3 of Cooper et al. The eddy activity over the canopy interestingly reaches a minimum around early afternoon. Figures 4 and 5 in Cooper et al. show, respectively, the water vapor profiles and the time variation of vertically averaged water vapor within the surface layer over the canopy and the grassland. The difference of the water vapor field over the two regions in terms of the absolute values as well as the temporal and spatial variability is quite large.

Figure 1a in this paper shows one snapshot of the water vapor mixing ratio in a vertical slice measured by the Raman system over the canopy, represented by the bottom red region in the figure, at 1436 LST on August 11, 1997. Note that Fig. 1a simply overlaps the frame number 5 in Fig. 3 of Cooper et al. by 100 m in the horizontal range. The image shows 10 to 20 m diameter moist plumes surrounded with high spatial gradient between 500 and 575 m in range. Figure 1b, on the other hand, shows the time series of lidar-measured water vapor concentrations at 10 m above the canopy starting from 1553 LST. Figures 1a and 1b together demonstrate the lidar capability in capturing the high-resolution variability of water vapor near the top of the canopy.

D. I. Cooper (1998, private communication) was not certain that the plumes in Fig. 1a were real signals due to the range concerns (as uncertainty is proportional to range), and did not include them, therefore, in their observation paper. We believe, however, that there is a dynamic support for these plumes, as will be discussed in Section 5. Figure 1a also shows fine structure of dry eddies between 375 and 475 m in range, penetrating downward presumably from the mixed layer. Such an interaction between the surface layer and mixed layer within a convective boundary layer has been documented both over land (e.g., Williams and Hacker, 1993) and oceans (e.g., Cooper et al., 1997).

### **3. Model**

As lidar technology is able to provide data at a resolution of meters in a 3-D atmospheric volume, it is imperative to promote a modeling counterpart of such a lidar capability. The hydrodynamic model used for the current work is an outgrowth of the numerical modeling framework of Reisner and Smolarkiewicz

(1994) and Smolarkiewicz and Margolin (1993). It is a nonhydrostatic model with the option of being anelastic (Smolarkiewicz and Margolin, 1994) or fully compressible (Reisner and Kao, 1997).

The model is based on a nonoscillatory forward-in-time advection scheme (MPDATA, see Smolarkiewicz and Margolin, 1998). It has the advantage of preserving both local extrema and the sign of the transported properties. The scheme assures solutions consistent with analytic properties of the modeled system and minimizes the need for artificial viscosity, while suppressing nonlinear computational instabilities. The pressure equation is solved with an efficient conjugate gradient scheme (Smolarkiewicz and Margolin, 1994), which is flexible and efficient without requiring any knowledge of the discretized elliptical operators' coefficient matrix. Overall, the model is designed to be used to accurately model small-scale atmospheric dynamics with high-gradient features; hence it is referred to as HIGRAD.

Other numerical options include a sound-wave temporal averaging scheme (Reisner and Kao, 1997) to effectively filter the sound waves; and the "volume of fluid" (VOF) method (Kao et al., 2000) which can track the boundaries of materials such as clouds and tracer species. The radiation parameterization is adapted from Smith and Kao (1996). Surface temperature is parameterized using a 5-layer sub-surface model with parameterized surface fluxes of heat and moisture, specified albedo and emissivity for the surface, and specified heat capacity and thermal conductivity for each layer. The surface fluxes of heat and moisture are calculated with a surface parameterization based on Monin-Obuhkov similarity theory.

#### **4. Simulation Design**

In order to match the actual dimensions of the domain over which lidar measurements were reported in Cooper et al. (1999), we configure a 2-D model domain of 500 m (horizontal) by 1000 m (vertical). The vertical domain with a size twice the horizontal domain allows the model to simulate the growth of the mixed layer from early morning to noon time. The grid size in the horizontal is uniformly set to be 2.5 m. In the vertical direction, a stretched coordinate with a cubic-spline formulation is used. The first grid point is 18 cm above the surface and the largest grid increment near the upper boundary is 25 m. The total number of grid cells is 201 (horizontal) times 81 (vertical).

The time step for the model integration is 1/8 of a second to cope with the fine resolution near the surface. It takes 8 hours of CPU time on a 350-MHz Sparc workstation to perform a one-hour model simulation. A no-slip boundary condition is used at the lower boundary and a free-slip boundary condition is used at the upper boundary. Cyclic boundary conditions are used at lateral boundaries. We prescribe all the subgrid transport with a small diffusivity coefficient ( $k_m$ ) at a constant value of  $10^{-6} \text{ m}^2\text{s}^{-1}$ . Our model simulation is somewhat similar to direct numerical simulations (DNS) at least in terms of small  $\Delta z$  and small  $k_m$  near the surface.

With the very high model resolution described above, the cottonwood canopy at the SALSA site can almost be resolved, but not quite. This makes existing parameterizations of canopy effects not entirely applicable to our model. On the other hand, our resolution is still too coarse to model each individual cottonwood tree. A novel way to reach a compromise in resolution is required. We adopt the approach by Kaimal and Finnigan (1994) to identify the so-called displacement height within the canopy, which is about 70 per cent of the average

tree height. Below the displacement height, the momentum becomes zero and the thermodynamic variables become constant with altitude. We choose a value of 10 meters for the displacement height in the model, which implies that the average tree height is about 15 meters. This model design approximates the canopy as an impermeable rectangular block with a dimension of 10-meter high and 150-m wide with time-constant thermodynamic properties (cf., Fig. 4).

The atmospheric conditions over the SALSA region on August 11, 1997 were characterized by fair weather with consistently light westerlies and a relative humidity of about 50 per cent throughout the boundary layer. The surface pressure was about 879 mb throughout the day (the SALSA site is at 1450 meters above the mean sea level). These conditions made the simulation more tractable since the effects due to large-scale variation with time were not a major concern.

The model simulations were initialized at 0600 LST, an hour before the transition period of the boundary layer started. Based on the tower and rawinsonde data, the initial potential temperature was assumed to be a constant of 303.0 K from the surface to 500 m above the surface. A moist adiabatic lapse rate of temperature was assumed for the rest of the model depth. The initial water vapor from the surface to 500 m was  $11.5 \text{ g kg}^{-1}$ . A lapse rate of  $7.0 \text{ g kg}^{-1}$  per Km was assumed for the rest of the model depth. At the top of and within the canopy, the water vapor concentration was initialized at  $20.5 \text{ g kg}^{-1}$ ; this value will be discussed further below. To excite an initial disturbance, a random distribution of temperature with a standard deviation of 0.01 K was imposed at the surface. The initial momentum field was set to be westerly at a wind speed of  $2 \text{ ms}^{-1}$ .

## 5. Results of Model Simulation

In order to approximate the water vapor forcing due to canopy to the atmosphere without incorporating a biological component in the modeled system, we use the lidar data to estimate the time change of water vapor mixing ratio at the top of the canopy. Figure 2 shows the water vapor profiles measured by lidar over the canopy between 30 and 70 meters above the surface at 0913 and 1156 LST. The averaged difference between the two profiles is about  $2 \text{ g kg}^{-1}$ . The difference right above the canopy is about  $5 \text{ g kg}^{-1}$ , representing a rate of water vapor decrease as significant as  $5 \text{ g kg}^{-1}$  in 3 hours. This rate is prescribed at the top of the modeled canopy. We did not have any extra treatment for the temporal water vapor variation over the grass as we did over the canopy, since the grass is not considered as a major source for the coherent eddies observed. Instead, we just let the model evolve with time over the grass with the initial conditions stated in Section 4.

With a neutral initial condition for potential temperature at 0600 LST (see Section 4), it takes the model about 2 hours to develop a near-surface unstable layer driven by the radiative shortwave absorption at the surface. In the mean time, a well-defined boundary layer circulation pattern and associated thermodynamic properties begin to develop as seen in Fig. 3, where circulation streamlines (Fig. 3a), water vapor (Fig. 3b), and potential temperature (Fig. 3c) are shown for 0800 LST of the simulation. All of the above fields indicate a mixed-layer height of about 400 meters with a rather weak capping inversion in terms of the changes of potential temperature and water vapor with height. The maximum wind speed is about  $5 \text{ ms}^{-1}$  and is near the top of the mixed layer.

As the solar heating at the surface increases with time, the inversion becomes even less distinct and eventually the entire vertical domain (1 Km) becomes well mixed.

Figure 3a shows upward motion within the mixed layer from 120 to 420 m of horizontal range. This upward motion corresponds to the large eddy within the mixed layer as apparent in both the water vapor (Fig. 3b) and potential temperature (Fig. 3c) fields. In Fig. 3a, the small near-surface eddies less than 100 m in vertical extent in the vicinity of the canopy (from 250 to 400 m along the horizontal axis, cf., Fig. 4) are caused by the momentum sink due to the canopy as discussed in the previous section. These eddies correspond to the near-surface plumes in water vapor and potential temperature shown in Figs. 3b and 3c.

Figure 4 shows the modeled water vapor field as a counterpart of the measured field, shown in Fig. 3 (Cooper et al. 2000, this issue) and Fig. 1a here. The spatial structure of the simulated water vapor plumes and their time evolution are in good agreement with the observations. The agreement includes the following: (i) the upward-tilting "ramps" of higher water vapor content over the canopy identified in the lidar images are captured by the model simulation; (ii) the variability of water vapor content over the canopy is higher than that over the grassland; and (iii) no plumes are either observed or modeled over the grassland in the afternoon. Interestingly, the model results also indicate that the convective plumes over the canopy are more active in the morning hours than the early afternoon hour, despite the fact that the convection should be more rigorous in the early afternoon. More discussion and explanation about this mid-day turbulence suppression will later be given.

Figure 5 represents the counterpart of Fig. 5a in Cooper et al. (2000), showing the vertically averaged water vapor as a function of time over the canopy and over the grassland. The two figures are in good agreement in that higher water vapor values and larger temporal variability are seen for the region over the canopy. The range of variation for water vapor is, however, considerably smaller in the modeled case. This is probably due to the differences in data sampling. Note that Cooper et al. (2000) used a 25-m scan width for constructing Fig. 5a, while the entire physical areas of the canopy and the grassland specified in the model are used in constructing Fig. 5 in this paper.

To explain the suppressed plume activity over the canopy in the early afternoon (Fig. 4), we first show the potential temperature averaged from 1230 to 1430 LST (Fig. 6a). The potential temperature over the canopy is higher than that over the grassland; this agrees with the observations made by airborne thermal remote sensing of skin temperature over the Bosque del Apache Research Site in southern New Mexico (C. Neale, 1999, private communication). The potential temperature over the canopy within this period is basically characterized by an unstable stratification, which, in principle, should be favorable for plume activity. However, the prevailing westerlies up to  $10 \text{ ms}^{-1}$  associated with Fig. 6b complicate the situation. Together with the higher potential temperature over the canopy, they generate the downward motion above the canopy's western part (Fig. 6c) and the surface outflows right at the top of the canopy (Fig. 6b).

The downward motion suppresses plume growth over the central part of the canopy, and the upward motion over the eastern part of the canopy promotes some limited plume activity, as seen in panels (c) and (d) of Fig. 4. To summarize, we are observing the dislocation of upward motion by mean winds over a low-level

heated air mass. As a result, the downward motion occupies most of the heated canopy. The standing circulation west of the canopy is the result of this dislocation plus the cyclic lateral boundary conditions used in the model. In other words, if the prevailing westerlies were not present, we would have observed the upward motion right above the heated canopy and the downward motion on the two sides. Reisner and Smolarkiewicz (1994) presented a thorough review of flows past heated obstacles. The case presented here is consistent with their analysis.

On the other hand, the downward motion into the surface layer over the canopy and the corresponding surface outflows help promote a near-surface convergence represented by narrow upward motions, as seen in Fig. 6c, at the edges of the canopy. These near-edge upward motions are responsible for the near-edge plumes in the afternoon seen in Fig. 4 and Fig. 6d. The above discussion also implies that the plumes seen in Fig. 1a can be real lidar signals due to the canopy's downstream "edge" effects.

## **6. Concluding Remarks**

In this paper, we have demonstrated an integrated tool that comprises high-resolution lidar measurements and advanced modeling capabilities for a fundamental understanding of microscale convective structure including plumes and the turbulent eddies of water vapor over a cottonwood riparian zone. The role of our HIGRAD model simulations in the implementation of a lidar measurement strategy enters at several stages. First, lidar provides data for initializing model integrations and supplies other parametric inputs to formulate and conduct the simulations. It, of course, also provides data to test model performance. Ultimately, model simulations are the primary means of

accumulating and formalizing knowledge from the measurements, and the only means of predicting the future evolution of the phenomena of interest. During such a course, the data requirements for model inputs will guide the design parameters and field deployment of future lidar experiments. Such an integration between modeling and measurement is also useful for identifying the future needs for model improvements in studying the surface-air exchange processes over various regions. For example, we believe that an inclusion of a biological module and a better treatment for circulation inside the canopy are necessary for the next modeling experiment.

The HIGRAD model is not only able to simulate the general structure and evolution of the observed water vapor plumes during SALSA (Cooper et al., 2000); it also offers a possible explanation of the mechanism for the mid-day suppression of turbulent activity over the cottonwood canopy. The suppression appears to be the result of the higher temperature over canopy than that over the grassland as well as the prevailing westerlies. They together induce downward motion into the surface layer at the top of the canopy. Unfortunately, we do not have simultaneous measurements of temperature over both the canopy and the grassland during SALSA to verify our finding. This research, however, justifies the current development of the next generation of the LANL Raman system that is capable of measuring both water vapor content and temperature.

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## Figure Legends

Fig. 1. (a) Vertical scan of lidar measured water vapor during the SALSA Program over the cottonwood canopy at 1436 LST, August 11, 1997. The horizontal range is from northeast to southwest (see Cooper et al., 1999) and (b) time series of lidar-measured water vapor concentrations 10 m above the canopy starting from 1553 LST.

Fig. 2. Vertical profiles of lidar measured water vapor over the canopy between 425 and 450 m in the horizontal range for 0913 and 1156 LST.

Fig. 3. Simulated (a) 2-D streamlines, (b) water vapor with a contour interval of  $0.5 \text{ g kg}^{-1}$ , and (c) potential temperature with a contour interval of  $0.5 \text{ K}$ , at 0800 LST.

Fig. 4. Simulated water vapor field ( $\text{g kg}^{-1}$ ) with a contour interval of 0.5 from 0910 to 1430 LST in comparison with Fig. 3 of Cooper et al. (this issue). Note that the horizontal range in the current figure is from west to east.

Fig. 5. Simulated vertically averaged water vapor over the cottonwood canopy (solid circles) and the grassland (open circles) from 0900 to 1430 LST.

Fig. 6. Simulated (a) potential temperature with a contour interval of  $0.5 \text{ K}$ , (b) streamline, (c) vertical motion with a contour interval of  $0.2 \text{ ms}^{-1}$ , and (d) water vapor with a contour interval of  $0.125 \text{ g kg}^{-1}$ . All are averaged from 1200 to 1430 LST.